

***PREQUALIFICATION STATEMENT***

**COOK & BOARDMAN, INC.  
9347 D. Ducks Lane, Suite A  
SilverLake III Business Park  
Charlotte, NC 28273-4553**

**Phone: (704) 334-8683  
Fax: (704) 334-9366**

**Website: cookandboardman.com**

Submitted to: \_\_\_\_\_ -  
(Contractor)

For: \_\_\_\_\_ -  
(Project)

Your contacts for this work:

<u>Name</u>	<u>Phone</u>	<u>Cell Phone</u>	<u>E-mail</u>
Xxx Xxxxxx	(704)xxx-xxxx	(704)xxx-xxxx	xxxx@cookandboardman.com
Xxx Xxxxxx	(336)xxx-xxxx	(336)xxx-xxxx	xxxx@cookandboardman.com
Xxxxxx Xxxxx	(843)xxx-xxxx	(843)xxx-xxxx	xxxx@cookandboardman.com

***In brief:*** Cook & Boardman, Inc., founded in 1955, is a full-service supplier of architectural doors, door frames, door hardware and other architectural specialty products to the construction industry and building owners / managers. From its five locations strategically spread throughout North and South Carolina, Cook & Boardman, Inc. serves customer needs ranging from simple replacement doors or hardware to the most complex institutional construction projects. High level customer service is our emphasis, made possible through our well-experienced and dedicated professional staff.





***Technical Qualifications:***

Primary focus / type of work: Section 8 - doors, frames & hardware; also Section 10 - toilet accessories, toilet partitions, lockers, flagpoles, miscellaneous products.

Class of projects completed: High rise office buildings  
Hotels / hospitality  
Hospitals / medical centers  
Sports / entertainment facilities  
Industrial buildings  
Retail stores  
High tech / laboratories  
Schools / universities  
Correctional facilities  
Churches  
Banks / offices  
Multifamily condominium / apartments

**Major Work Recently Completed:**

- A. Project Name: Nascar Plaza  
Architect: LS3P Associates, Ltd.  
General Contractor: BE&K Building Group
  
- B. Project Name: Levine Children's Hospital  
Architect: Karlsberger Architecture, Inc.  
General Contractor: Robbins & Morton Group
  
- C. Project Name: Family Dollar Corporate Headquarters  
Architect: Clark-Nexen Architecture & Engineering  
General Contractor: Choate Construction Company
  
- D. Project Name: University of South Carolina – Honors Dormitory  
Architect: Garvin Associates  
General Contractor: China Construction of America
  
- E. Project Name: Ritz-Carlton Hotel  
Architect: Smallwood Reynolds Stewart & Assoc.  
General Contractor: R.T. Dooley, Inc.
  
- F. Project Name: UNC-Charlotte Student Union  
Architect: The FWA Group  
General Contractor: Balfour Beatty Construction

*Technical Qualifications, continued:*

- G. Project Name: Greenwood High School  
Architect: Furman Architects  
General Contractor: PC Construction
  
- H. Project Name: Winthrop College Campus Center  
Architect: DP3 Architects, Ltd.  
General Contractor: Leitner Construction Co.
  
- I. Project Name: Shaw Air Force Base  
Architect: Naval Facilities Engineering Command  
General Contractor: Hitt Contracting, Inc.
  
- J. Project Name: Knight Theatre  
Architect: Thompson, Ventulett, Stanback & Assoc.  
General Contractor: Rodgers Builders, Inc.

Major Work Currently Underway:

- A. Project Name: Cabarrus County Law Enforcement Center  
Architect: Ware Bonsall Architects  
General Contractor: Turner Construction
  
- B. Project Name: Novant Medical Center – Brunswick, NC  
Architect: McCullough England  
General Contractor: Brassfield & Gorrie, LLP
  
- C. Project Name: Leaphart Elementary School  
Architect: The LPA Group  
General Contractor: Rodgers Builders, Inc.
  
- D. Project Name: Bachelors Enlisted Quarters  
Architect: U.S. Army Corps of Engineers  
General Contractor: Venture Construction, Inc.
  
- E. Project Name: Presbyterian College School of Pharmacy  
Architect: McMillan, Smith and Partners  
General Contractor: Triangle Construction Co.
  
- F. Project Name: Wake Forest Univ. – Freshman Residence Hall  
Architect: Hanbury, Evans, Wright & Vlattas Co.  
General Contractor: Frank L. Blum Construction Co.

**Technical Qualifications, continued:**

- G. Project Name: First Presbyterian Church - Belmont  
 Architect: Clark, Patterson & Lee Architects  
 General Contractor: Beam Construction
- H. Project Name: Carolinas Medical Center - Lincoln  
 Architect: Davis Stokes Collaborative, PC  
 General Contractor: Robbins & Morton Group
- I. Project Name: Albemarle City Hall  
 Architect: Narmour Wright Associates  
 General Contractor: C. M. Black Construction
- J. Project Name: Duke University Medical Center  
 Architect: Tsoi / Kobus + Associates, Architects  
 General Contractor: BE&K Building Group

A partial list of Cook & Boardman's key staff involved in larger projects and their qualifications as Door & Hardware industry professionals (professional staff with fewer than ten years in door and hardware project work are not shown):

<b>Name</b>	<b>Location</b>	<b>Role</b>	<b>Years in Industry</b>
Bruce Barber, DAHC	Charlotte	Sales, Engineering	48
Jessie L. Jacobs	Charlotte	Engineering	39
J.E. Buchanan, AHC	Greenville	Sales	36
Ted Cooper	Columbia	Sales	36
Thomas Horne, AHC	Charlotte	Sales	22
Michael Locklear	Charlotte	Sales	25
Cynthia Ricker, CDT	Charlotte	Project Management	19
Mike Jolly	Charlotte	Sales	30
Steve Rinaldi, AHC	W-Salem	Engineering	43
Randal W. Weaver	Greenville	Sales	13
Dannie White	Charlotte	Sales	17
Kevin Rogers	Charlotte	Sales	30
Steve McRorie	Charlotte	Sales	28
Peter W. Chappell	W-Salem	Engineering	26
James P. Houston, AHC	Charlotte	Project Support	40
Tommy Nussman, AHC	Charlotte	Sales	36
Mark Chester, AHC	Charlotte	Engineering	28
Mike Thomas	Charlotte	Engineering	26
John Kenney	Charlotte	Project Management	13
Mary Ellen Dover	Greenville	Engineering	23
Bert Roberts	Charleston	Sales	25

**Technical Qualifications, continued:**

Roger Clark, ALOA	Charleston	Project Support	30
Tim Hutchens	W-Salem	Manager	13
Terry Bodford	W-Salem	Operations, Project Support	27
Jim Lentz	W-Salem	Sales	35
Vernon Spence	W-Salem	Sales	11
Emery Cornatzer	W-Salem	Sales	41
J.R. Parrish	W-Salem	Sales	29
William Hunter, AHC	Columbia	Sales	23
Shawn Wallace	Columbia	Sales	18
Robert Patterson	Columbia	Sales	25
Fraser Bradford	Columbia	Project Management	29
David Schroeder	Charleston	Manager	34
Chuck Bilot	Charleston	Project Manager	26
Renate Bell	Charleston	Engineering	23

**Key to credentials:**

- AHC -** Architectural Hardware Consultant, qualifications as certified by the national Door & Hardware Institute.
- DAHC -** Same as above, with “Distinguished” designation recognizing exceptional tenure and accomplishment
- CDC -** Certified Door Consultant, qualifications as certified by the national Door & Hardware Institute
- ALOA -** Bonded and certified through Associated Locksmiths of America
- CDT -** Construction Document Technologist as certified by Construction Specification Institute

**Financial Information:**

Bank reference data:

Bank name:	RBC Centura	Phone:	(704) 686-1477
	200 Providence Road, Suite 300	Contact:	Dana Powell
	Charlotte, NC 28207	e-mail:	dana.powell@rbc.com

Dun & Bradstreet #: 02-446-9744

Auditors:	Greer & Walker, LLP	Last audit:	September 30, 2009
Opinion:	Unqualified	Debt / capital:	43%
Total assets:	\$21,334,549	Current ratio:	152%

Bonding Data:

Surety name:	The Hanover Ins. Co.	Agency:	Rutherford Ins.
Aggregate capacity:	\$6,000,000	Contact:	Ray Garruto
Limit per project:	Variable depending on need	Phone:	(888) 668-8643

**Financial Information, continued:**

Trade references:

	Supplier & Address	Phone	Fax
1.	Assa Abloy Credit Services (Sargent, Ceco, Curries & other products) 110 Sargent Drive New Haven, CT 06511	N/A	(203) 603-5943
2.	Algoma Hardwoods 1001 Perry Street Algoma, WI 54201	(800) 678-8910	(920) 487-3335
3.	Ingersoll Rand Security Technologies (Schlage, VonDuprin, Steelcraft & other products) Indianapolis, IN	N/A	(317) 715-4486
4.	Rockwood Architectural Hardware P.O. Box 79 Rockwood, PA 15557	(800) 458-2424	(800) 922-9212

**Insurance Information:**

Agent / Broker, property, casualty & liability lines:

Wells Fargo Insurance Services, Inc.  
6100 Fairview Road, Suite 800 (28210)  
P.O. Box 220748, NC1410  
Charlotte, NC 28222-0748

Phone: (704) 366-8834

Carrier: Hartford Casualty Insurance Company

Policy form: Occurrence based

Type Coverage	Policy #	Expiration	Limit – Occurrence	Aggregate
General liability	2UUNAC9882	6-15-10	\$1,000,000	\$2,000,000
Auto liability	2UUNAC9882	6-15-10	\$1,000,000	N/A
Umbrella	22RHUAC9536	6-15-10	\$10,000,000	\$10,000,000
Workers' comp	22WBIV3171	6-15-10	\$500,000	Statutory

***Safety Information:***

Experience Modification Rate (EMR) from workers' compensation bureau: .90

OSHA log information:

	<b>2007</b>	<b>2008</b>	<b>2009</b>
Fatalities	none	none	none
Lost & restricted workday cases	1	1	1
Medical treatment cases	2	2	5
Lost workday cases	1	1	1
Employee hours worked	253,089	305,584	246,759
Recordable incidence rate	3.2	2.6	4.9
Lost workday incidence rate	.8	.6	.8

OSHA violation citations in last three years: None

Written safety program in place: Yes

Substance abuse policy: Yes, pre-employment & post accident